

# Biosynthesis of PHA and it's copolymers – a review

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## ABSTRACT-

To solve the current problem of pollution caused by the continuous use of conventional plastic recent technologies are directed towards the development of production of PHA because of its resemblance with synthetic plastic. Several strains of rhizobium species are isolated from leguminous plants accumulate PHA as cellular and biogreen material. Biogreen material includes polylactic acid (PLA), naturally occurring zein & poly-3-hydroxy butyrate but major interest is towards the energy storage material. Various species of Rhizobium synthesize up to 67% PHA of their cell biomass having varying amount of PHB & PHV. *Bacillus megaterium* synthesize 72.9% PHA as its cell biomass when activated sludge used as carbon source.

**Index terms-** Biogreen material, Poly-3-hydroxyalkanoates, Rhizobium Sps, Polyhydroxybutyrate, Copolymer, Solvent extraction, <sup>1</sup>Biodegradability

**INTRODUCTION:** There is a considerable interest in the development and production of biodegradable polymers that exert negligible side effect on the environment. Biodegradable polymers are the class of polymers produced by living organisms such as polylactic acid (PLA), naturally occurring zein & poly-3-hydroxy butyrate replacing the need for polystyrene or polythene based plastic. PHA is known to be accumulated as intracellular inclusions in some bacteria. The material properties exhibited by

PHAs ranging from stiff, brittle to rubber like makes it a close substitute for the synthetic plastic. Thus biodegradable polymers or bioplastic are important and interesting areas that are being looked out as alternatives for synthetic plastic. These are a new generation of materials able to significantly reduce the environmental impact in terms of energy consumption and green house effect.

**PHA (POLYHYDROXYALKANOATES):** PHAs have attracted attention in recent years because PHA polymers are thermoplastic, can be processed on conventional processing equipment, and are, depending on their composition, ductile and more or less elastic. They differ in their properties according to their chemical composition (homo- or copolyester, contained hydroxy fatty acids). They are UV stable, in contrast to other biopolymers such as polylactic acid; its melting point is 175C, and shows a low permeation of water. It is upto 70% crystalline. Its processability, impact strength and flexibility can be increase with a higher percentage of valerate in the material.

PHAs are the microbial polyesters of HAs. PHAs are synthesized by a number of bacteria as cellular and energy storage materials. These are accumulated in the cytoplasm of cells as granules under conditions of nutrient imbalance. Accumulation usually occurs when carbon is in excess and if at least one other nutrient which is essential for growth is depleted.

PHA being thermoplastic polyesters has the potential to replace petrochemical plastics in a majority of applications. The extensive range of physical properties and broadened

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performance obtained by compounding and blending is exploited in such applications. Various applications of PHAs have been envisaged which includes molded containers, back sheet of hygiene articles such as diapers, coating agents, packaging materials etc. It is exploited in bulk applications such as coatings, low strength packing, medium strength structural materials, medical temporary implants (such as scaffolding for the regeneration of arteries and nerve axons), and water based latex paints. It is brittle and hence cannot be used in most of the applications. This is overcome by the synthesis of copolymers of PHB with other PHAs. The copolymers may have better thermo mechanical properties than do PHB homopolymers. A wide variety of copolymers of PHA have been isolated from bacteria by varying the combination of carbon substrate for growth.

Various species of bacteria accumulate up to 60% PHA of cell biomass and the copolymers are synthesized in the presence of propionate or valerate along with different carbon sources. The microorganisms accumulated PHA are easily identified by staining with sudan black and Nile blue. However reports regarding the development of mutant strains of bacteria for enhanced production of PHA are scanty, although recombinant strains have been constructed for this purpose.

#### Discovery and historical development of PHA

Poly (3-hydroxybutyrate) [P (3HB)] is the most common PHA and was first described by Lemoigne, a French scientist in year 1925.<sup>[1]</sup> After that, various bacterial strains among archaebacteria,<sup>[1]</sup> gram positive<sup>[2,3]</sup> and gram negative bacteria<sup>[4]</sup> and photosynthetic bacteria<sup>[5-8]</sup> including cyanobacteria<sup>[9,10]</sup> have been identified to synthesize P(3HB) both aerobically and anaerobically. P (3HB) as a bacterial storage polymer having function similar to starch and glycogen was accepted by the year 1973.<sup>[11]</sup> Macrae and Wilkinson noticed that *Bacillus megaterium* accumulate P (3HB) homopolymer when the ratio of glucose to nitrogen in the culture medium was high<sup>[12]</sup> and the subsequent intracellular degradation of P (3HB) occurred in the absence of carbon and energy sources.<sup>[13]</sup> The opinion that 3HB is the only monomer unit of this polymer has been changed after the discovery of other monomer units as bacterial storage material.<sup>[14, 15]</sup>

In 1974, Wallen and Rohwedder discovered other monomer units beside 3HB monomer from activated sewage

sludge.<sup>[16]</sup> Among the polymers extracted from the sludge, 3-hydroxyvalerate (3HV), 3-hydroxyhexanoate (3HHx) and 3-hydroxyheptanoate (3HHp) monomers existed as the major and minor constituents; respectively. In 2006 Yuan et al reported that accumulation of short chain PHA by the use of waste activated sludge alkaline fermentation liquid as the carbon source. In the year of 1983, 3HHp was reported in *B. megaterium*.<sup>[2]</sup> In the same year, De Smet and coworkers identified a new monomer, 3-hydroxyoctanoate (3HO) with trace amount of 3HHx from *Pseudomonas oleovorans* when fed with n-octane.<sup>[17]</sup> From this investigation it was concluded that the production of various PHA monomers was dependent on the substrate fed.

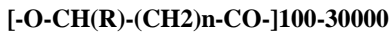
To date, about 150 different monomer constituents of PHA have been found.<sup>[18, 14]</sup> Witholt and Kessler have compiled the large variety of PHA monomers with straight, branched, saturated, unsaturated and also aromatic structures.<sup>[19]</sup>

PHA can be classified according to the monomer size. There are two major groups of PHA;

1. Short-chain-length (SCL) PHA with five or less carbon atoms in a monomer, and
2. Medium-chain-length (MCL) PHA with six to fourteen carbon atoms in a monomer.

*Cupriavidus necator* (formerly known as *Alcaligenes eutrophus* or *Wautersia eutropha*) is a well studied bacterium capable of producing SCL-PHA and it has been identified to produce PHA polymers consisting of 3HB, 3HV and 4HB monomers.<sup>[1,20,21]</sup> *P. oleovorans* and *Pseudomonas putida* are known to synthesize MCL-PHA consisting of 3HO and 3-hydroxydecanoate (3HD) monomers as major components. PHA has yet to be produced in large scale because the yield is relatively low compared to SCL-PHA.<sup>[22]</sup> Now special interest is in the polyhydroxyalkanoates with functional groups in side chain that allow further chemical modification, e.g., halogen, carboxyl, epoxy, phenoxy, cyanophenoxy, nitrophenoxy, thiophenoxy, and methylester groups. The length of the side chain and its functional group influence the properties of PHA, e.g., melting point, glass transition temperature, and crystallinity. In 2006 Keen I et al introduced amine functionality on a poly (3-hydroxybutyrate-co-3-hydroxyvalerate) by ammonia plasma treatment and ethylenediamine aminolysis. Carboxylic moiety is introduced in side olefins chains with the use of osmium tetroxide and oxone (Stigers DJ, Tew GN, 2003).

**GENERAL STRUCTURE OF PHA**



|                  |                           |
|------------------|---------------------------|
| n=1 R = hydrogen | poly-3-hydroxypropionate  |
| methyl           | poly-3-hydroxybutyrate    |
| ethyl            | poly-3-hydroxyvalerate    |
| propyl           | poly-3-hydroxyhexanoate   |
| pentyl           | poly-3-hydroxyoctanoate   |
| nonyl            | poly-3-hydroxydodecanoate |
| n=2 R = hydrogen | poly-4-hydroxybutyrate    |
| n=3 R = hydrogen | poly-5-hydroxyvalerate    |

**BIOSYNTHESIS OF PHA**

**BACTERIAL STRAINS: Various recombinant strains of bacteria** generally used for the production of PHA presented in the table 1.

**Table 1. Bacteria used for production of PHA from plant oils and wastes**

| Strains                                     | PHA type                    | Substrates   | PHA content (Wt%) | Ref. | PHA type                    | Substrates   |
|---|-----------------------------|--|-------------------|------|-----------------------------|--|
| <i>Cupriavidus necator</i>                  | P(3HB-co-3HHx)              | Palm kernel oil, palm oil, crude palm oil, palm acid oil   |                   |      | P(3HB-co-3HHx)              | Palm kernel oil, palm oil, crude palm oil, palm acid oil |
| <i>Recombinant Escherichia coli</i>         | P(3HB-co-3HHx-co-3HO)       | Soybean oil  |                   |      | P(3HB-co-3HHx-co-3HO)       | Soybean oil  |
| <i>Pseudomonas aeruginosa</i> IFO3924       | mcl PHA                     | Palm oil   |                   |      | mcl PHA                     | Palm oil   |
| <i>Pseudomonas aeruginosa</i> NCIB 40045    | mcl PHA                     | Waste frying oil   |                   |      | mcl PHA                     | Waste frying oil   |
| <i>Pseudomonas guezenei</i> biovar. tikehau | mcl PHA                     | Coprah oil   |                   |      | mcl PHA                     | Coprah oil   |
| <i>Thermus thermophilus</i> HB8             | P(3HV-co-3HHp-co-3HNco-3HU) | Whey   |                   |      | P(3HV-co-3HHp-co-3HNco-3HU) | Whey   |
| <i>Rhizobium leguminosarum</i>              | P(3HB)                      | Root nodules of leguminous plants  |                   |      | P(3HB)                      | Root nodules of leguminous plants                        |
| <i>Alcaligenes latus</i> DSM 1124           | P(3HB)                      | Soya waste, malt waste   | 33, 71            | 23   | PHB + PHV                   | Root nodules of leguminous plants                        |
| <i>Bacillus megaterium</i>                  | P(3HB)                      | Beet molasses, date syrup  | ~50               | 24   | PHB                         | Root nodules of leguminous plants                        |
| <i>Burkholderia sp.</i> USM (JCM 15050)     | P(3HB)                      | Palm oil derivatives, fatty acids, glycerol  | 99.6              | 25   | PHB                         | Root nodules of leguminous plants                        |
| <i>Comamonas testosteroni</i>               | MCL-PHA                     | Castor oil, coconut oil, mustard oil, cottonseed oil, groundnut oil, olive oil, sesame oil   | 79-88             | 26   | P(3HB) + 0.4PHV             | Root nodules of leguminous plants                        |
| <i>Cupriavidus necator</i>                  | P(3HB)                      | Bagasse hydrolysates   | 54                | 27   |                             |  |
| <i>Cupriavidus necator</i> H16              | P(3HB-co-3HV)               | Crude palm kernel oil, olive oil, sunflower oil, palm kernel oil, cooking oil, palm oil, crude palm oil, coconut oil + sodium propionate | 65-80             | 28   |                             |  |
| <i>Cupriavidus necator</i> DSM 545          | P(3HB)                      | Waste glycerol   | 50                | 29   |                             |  |

Among the more than 250 different natural PHA-producers, only a few bacteria have been employed for the biosynthesis of PHA. The polymer production condition differs from bacteria to bacteria. These microorganisms are capable to synthesis PHAs by using various carbon sources in limiting amount of other nutrients like nitrogen, sulphur and oxygen etc. But the limitation of this method is its high cost and production in limited amount. That's

why it is desirable to develop strains that can produce high PHA content in short period of time from simple & inexpensive substrate.

**Fermentation Process:** Bacteria which are used for the production of PHA can be categorized into two groups depending upon the culture conditions. First category include those bacteria which require excess of carbon and limiting amount of other nutrients like N, P, S & O for producing PHAs. Second category include those bacteria which accumulate PHA during its exponential growth phase. The culture condition require for large scale production of PHA should be taken into consideration. Batch and fed-batch cultivation are widely used for industrial production of PHA. Fed batch cultivation is more efficient than batch because the medium composition can be controlled by substrate inhibition.

#### **EXTRACTION AND PURIFICATION OF THE POLYMERS**

The last stage of PHA production involves separating the polymer from the cells. For this solvent of aqueous extraction can be used. In aqueous process, the cell walls are broken and the polymer is then extracted and purified. This process is less expensive but this process reduces polymer molecular weight. For example solvent extraction can produce copolymer weight of 1 million, whereas typical molecular weights of aqueously extracted copolymer are in the range 600,000.

In solvent extraction process, the solvent employed includes chloroform, methylene chloride, propylene carbonate and dichloroethane. Large amount of solvent is required due to high viscosity of PHA; hence this method is economically less profitable.

Sodium hypochlorite is used for the aqueous process. The use of sodium hypochlorite significantly increased PHA degradation, polymer purity greater than 95% is achieved.

An aqueous enzymatic digestion method is also available to solubilise non-PHA cellular materials.<sup>[38]</sup>

#### **THE POLYMER BIODEGRADABILITY**

PHAs are used by microorganisms as an energy source. PHAs biodegrades in microbial active environments. Microorganisms colonize on the surface of polymer and secrete enzymes, which degrades PHA into HA. These units are then used up by the cells

as C-source for biomass growth. The rate of polymer biodegradation depends on a variety of factors including surface area, microbial activity of the disposal environment, pH, temperature, moisture and the pressure of other nutrient materials. P (HB-HV) is water insoluble and is not affected by moisture, does not degrade under normal conditions of storage and is stable indefinitely in air. The end products of PHA degradation in aerobic environments are CO<sub>2</sub> & H<sub>2</sub>O while CH<sub>4</sub> is also produced in anaerobic conditions. Degradation occurs most rapidly in anaerobic sewage and slowest in seawater. It has been observed that P (HB-HV) completely degraded after 6, 75 & 350 weeks in anaerobic sewage, soil & seawater respectively.<sup>[36,38]</sup>

#### **APPLICATIONS OF PHA**

PHA has a wide range of applications because of its biocompatibility, biodegradability and negligible cytotoxicity to the cells. Due to its these properties it has the potential to replace the petrochemical based polymers. It is gaining popularity in various fields involving packaging, medical and coating materials. PHA has been manufactured for non-woven materials, polymer films, sutures, and pharmaceutical products used in surgery, transplantology, tissue engineering, and pharmacology<sup>[40]</sup>. In tissue engineering, the cells are grown in vitro on biodegradable polymers to construct "tissue" for implantation purposes<sup>[41]</sup>.

#### **CONCLUSION AND FUTURE OUTLOOK**

This review presents the PHA as potential substitute material to some conventional plastics has drawn much attention due to the biodegradable and biocompatible properties of PHA. The potential applications of PHA in various industries and in the medical field are encouraging. But the production cost of PHA has been a major drawback. Consequently, scientists have shown immense interest in searching for new bacterial strains, creating new types of recombinant strains and tailoring various kinds of PHA to reduce the cost of production. The ongoing commercialization activities in several countries are expected to make PHA available for applications in various areas soon.

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